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3623 #BT
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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT(S): Chui-Shan Teresa Lam, et al. ART UNIT: 3600
SERIAL NO.: 10/037,153 EXAMINER: C. Sherr
FILED: December 21, 2001
TITLE: METHOD AND SYSTEM FOR INITIALIZING A KEY MANAGEMENT SYSTEM

Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

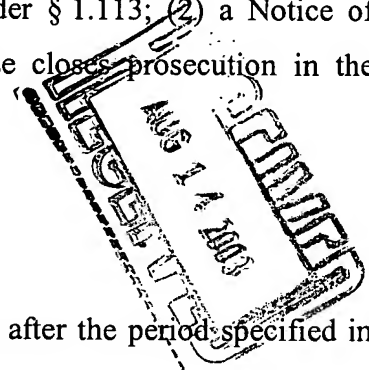
Pursuant to their duty of good faith and candor as set forth in 37 CFR 1.56(a), Applicants request that the reference(s) cited on attached form PTO-1449 (copies of which are enclosed) be considered by the Examiner in connection with the search of the prior art required by 37 CFR 1.104. This Statement is being filed under one of the following provisions of § 1.97:

[XX] This Statement is being filed under 37 CFR 1.97(b) prior to the latest of: (1) three months from the filing date of this Application; (2) three months after entry of the national stage in the case of an international application; (3) the mailing date of a first Office Action on the merits; or (4) before the mailing of a first Office Action after the filing of a request for continued examination under § 1.114.

[] This Statement is being filed under 37 CFR 1.97(c) after the period specified in § 1.97(b) but prior to the earlier of: (1) a final Office Action under § 1.113; (2) a Notice of Allowance under § 1.113; or (3) an action that otherwise closes prosecution in the application. This Statement is accompanied by either:

- [] the fee set forth in § 1.17(p); or
- [] the statement specified in § 1.97(e).

[] This Statement is being filed under 37 CFR 1.97(e) after the period specified in § 1.97(c) but prior to or with payment of the issue fee. Each item of information in this statement was cited in a communication from a foreign patent office in a counterpart foreign



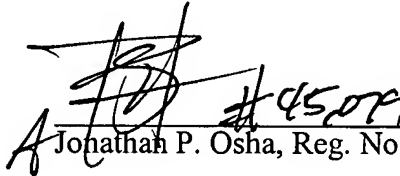
application, the communication being dated July 29, 2003, which is not more than three months prior to the filing of this statement.

Applicants believe no petition is necessary; however, if this belief is incorrect, please consider this paper as such.

Applicants believe there is no fee required for filing this paper. If, however, Applicants are in error regarding the fee, the Commissioner is hereby authorized to charge Deposit Account 50-0591 of Rosenthal & Osha L.L.P. under Order No. 09469.010001 for any additional fees and/or credits.

Respectfully submitted,

Date: 8/8/03


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CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)**Docket No.**

09469.010001

Applicant(s): Chui-Shan Teresa Lam, et al.**Serial No.**

10/037,153

Filing Date

12/21/2001

Examiner

C. Sherr

Group Art Unit

3600

Invention:**METHOD AND SYSTEM FOR INITIALIZING A KEY MANAGEMENT SYSTEM**

I hereby certify that the following correspondence:

Information Disclosure Statement along with the required papers*(Identify type of correspondence)*

is being deposited with the United States Postal Service "Express Mail Post Office To Addressee" service under 37 CFR 1.10 in an envelope addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450 on August 8, 2003.

(Date)

Grace A. Wise*(Typed or Printed Name of Person Mailing Correspondence)*
*(Signature of Person Mailing Correspondence)*EV322007658US*("Express Mail" Mailing Label Number)***RECEIVED**

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SUBSTITUTE FOR FORM 1449				U.S. DEPARTMENT OF COMMERCE Patent and Trademark Office		Complete if Known	
				Application Number		10/037,153	
				Filing Date		December 21, 2001	
				First Named Inventor		Chui-Shan Teresa Lam	
				Group Art Unit		3600	
				Examiner Name		C. Sherr	
				Attorney Docket Number		09469.010001	
Sheet 1		1	of	1			

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.	U.S. Patent Document Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines Where Relevant Passages or Figures Appear
		US 2001/ 0026619 A1	10/04/2001	Howard, Jr., et al.	
		US 2001/ 0019614 A1	09/06/2001	Madoukh	

FOREIGN PATENT DOCUMENTS							
Examiner initials*	Cite No.	Office	Number	Kind Code (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	T**

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner initials*	Cite No.	References	T**
		International Search Report; July 29, 2003.	

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Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

** Applicant is to place a check mark here if English language is present or an English Translation or Abstract is attached.